

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (If known, see 37
C.F.R. 1.57)

09/446875

INTERNATIONAL APPLICATION NO.

PCT/NL98/00371

INT'L FILING DATE

June 26, 1998

PRIORITY DATE

CLAIMED

June 26, 1997

TITLE OF INVENTION: **METHOD OF THERMICALLY TREATING A CARBONACEOUS MATERIAL-COMPRISING AQUEOUS
FLUID AND AN APPARATUS THEREFOR**APPLICANT(S) FOR DO/EO/US: **Hubertus E.M. STASSEN et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☐ Submission Under 37 C.F.R. 3.73(b)
 - b. ☐ Power of Attorney
 - c. ☒ International Preliminary Examination Report
 - d. ☒ Copy of PCT Demand
 - e. ☒ Copy of PCT Request
 - f. ☒ WO 99/00334

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.57) 09/446875		INTERNATIONAL APPLICATION NO. PCT/NL98/00371		ATTORNEY'S DOCKET NUMBER B758.312-1	
17. [X] The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):				CALCULATIONS PTO USE ONLY	
International preliminary examination and search fees not paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 970.00					
International Search Report prepared by the EPO or JPO \$ 930.00					
International search fee paid to the USPTO \$ 760.00					
International preliminary examination fee paid to USPTO \$ 670.00					
International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 96.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$930.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than _ 20 _ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$0	
Claims	Number Filed	Number Extra	Rate		
Total claims	19 - 20 =		X \$18.00	\$0	
Ind. Claims	- 3 =		X \$78.00	\$0	
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$0	
TOTAL OF ABOVE CALCULATIONS =				\$930.00	
Reduction by 1/2 for filing by small entity, if applicable. Small Entity Statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28).				\$0	
SUBTOTAL =				\$930.00	
Processing fee of \$130.00 for furnishing the English translation later the _ 20 _ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				\$0	
TOTAL NATIONAL FEE =				\$930.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet. (37 C.F.R. 3.28, 3.31). \$40.00 per property				\$0	
TOTAL FEES ENCLOSED =				\$930.00	
				Amount to be refunded:	\$
				Charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$930.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 11-0982 in the amount of _ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0982. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					

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Use Express Mail only on initial filing of national stage in the U.S. (371) or filing Missing Parts

Express Mail No.: EL163363052USDate of Deposit: December 22, 1999